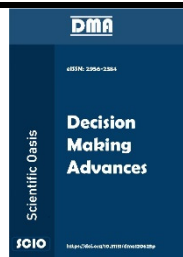




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## Hybrid Approach for COVID-19 Vaccine Distribution

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### ABSTRACT

Since December 2019, the entire world has become fully uncontrolled due to the critical and unpredictable nature of the COVID-19 virus. The researchers have proposed various precautionary measures to protect ourselves from it. One of the most established and acceptable tools to control the COVID-19 pandemic is vaccination. Pharmaceutical companies are trying their best to supply vaccines according to requirements within a short period. It has become a challenging task to allocate a limited quantity of vaccines among the state and union territories with respect to multiple aspects. A number of factors are involved and have various impacts on the distribution of vaccines. The impacts of the vaccine distribution factors are estimated using the parameters that are responsible for spreading the COVID-19 infection, such as population density, active cases, infection rate, and total infected persons. In this article, we propose a proportional and infection-based vaccine allocation technique to distribute the vaccine among states or union territories of India based on six COVID-19-related factors to reduce the day-wise infection rate rapidly. Accuracy rate and three distribution grades are used to measure the performance of the proposed methods. Then, a hybrid method is developed by combining the proportional and infection-based vaccine allocation techniques to improve the accuracy rate of the vaccine distribution. Finally, we compare the proportional and infection-based vaccine allocation technique with the hybrid approach, where the hybrid approach performs better.

## 1. Introduction

The first outbreak of an unknown virus with severe acute respiratory syndrome reportedly occurred in Wuhan, China, in mid-December 2019 [1]. World Health Organization (WHO) identified the characteristics of the virus and denoted it as COVID-19 [2]. Since December 2019, most countries around the world have been badly affected by the COVID-19 virus. According to the WHO's observation, most of the people who were affected by COVID-19 recovered from the common

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treatment without hospitalization [3]. But 10%-20% of COVID-19 patients were seriously ill and faced dangerous breathing problems. Furthermore, it was reported that the infection rates of COVID-19, as well as fatality rates, were higher for elderly people who had some comorbidity conditions, such as diabetes and hypertension [4]. Unfortunately, the condition of many of the elderly patients was severe. One of the main causes of this was that elderly patients are more prone to multisystem organ dysfunction [5]. Additionally, patients with pre-existing comorbidities are at a higher risk of developing severe coronavirus infections than patients with a healthy medical history [6]. The probable treatment of COVID-19-infected patients, and the performance of various medicines are analyzed in [7].

WHO (World Health Organization) and the Alliance Organization struggled to manage the pandemic situation of COVID-19 [4]. They announced various types of instructions to take as precautions for preventing the infection COVID-19, like washing hands regularly with soap and water, maintaining social distance from people coughing or sneezing, avoiding touching their face, covering mouth and nose with a mask, etc. At the same time, they advised to avoid unnecessary political or religious gathering during this period. Primarily, this advice helped to reduce COVID-19 cases. Many of the COVID-19-affected countries took some strong decisions like a full lockdown to break the exploration chain of the COVID-19 virus. Those strong decisions helped to reduce the chance of being exposed to the virus or spreading it to others, but these are not enough to stop it permanently [8]. The world-famous virologists deeply investigated and proposed that the vaccination might be the ultimate solution. On 11th January 2020, the genetic sequence of COVID-19 was globally published, which boosted the development of the preventative COVID-19 vaccine [9]. The vaccine development was accelerated in early 2020 by the investment of ten billion dollars from the multinational pharmaceutical industries, billionaire persons, and some countries. By June 2020, various research groups throughout the world developed a dozen of the probable vaccines and considered the global vaccination program to immunize against COVID-19 infection for phase wise testing. As of 24 June 2020, China approved the CanSino vaccine for limited use in the military. Russia started their vaccination 'Sputnik V' on 11th August 2020 for emergency purposes. From late 2020, the United Kingdom, Bahrain, UAE, and the United States started the vaccination officially of various COVID-19 vaccines like BNT162b2, Pfizer–BioNTech, and BBIBP–CorV [9].

After the approval of the various COVID-19 vaccines, the issue was to distribute the COVID-19 vaccine globally. The fundamental target was to distribute the vaccine among all countries equally. At present, there are no predefined protocols to force countries to share extra vaccines if they have excessive amounts of vaccines. The Coalition for Epidemic Preparedness Innovations reported that till now there are no clear agreements for the principles of the fair allocation system. Moreover, none of the global organizations responded to take full responsibility to manufacture and supply the vaccines as per the global scale order. Matrajt *et al.*, [10] introduced a mathematical model to manage the vaccine crisis during the vaccination. The model consisted of a pair of optimization algorithms based on four various metrics. They reported that the pandemic can be reduced if the effectiveness of the vaccines is more than 50%.

In India, emergency basis COVID-19 vaccination started officially on 16 January 2021. As of 24 May 2021, India had completed 41864206 full doses of vaccination. Initially, two vaccines got the approval from the government of India for starting the vaccination in emergency use, i.e., Covishield (a brand of the Oxford–AstraZeneca vaccine manufactured by the Serum Institute of India) and Covaxin (developed by Bharat Biotech). In April 2021, Sputnik V (distributed by Dr. Reddy's Laboratories) was approved as a third vaccine, which was deployed by May 2021. Presently, Serum Institute of India and Bharat Biotech produce 840 million and 700 million doses of vaccines every year. Initially, the production of vaccines was not adequate to satisfy the demand of people. The

people of our country were fully exhausted due to home quarantine for a long period. They were not allowed to move freely outside their home. They observed the effectiveness of vaccines. Most of the people from various communities showed their interest and enlisted their names for vaccination to protect themselves from the COVID-19 infection.

A number of precautions, such as wearing a mask, cleaning hands, and maintaining a safe distance, are taken to overcome the pandemic situation, which is shown in Figure 1. But sometimes, those precautions are not sufficient to stop the spreading of COVID-19 among the communities, and unable to recover the infected persons with comorbidities. Then, the COVID-19-affected countries decided to implement a full lockdown to break the exploration chain. As a side effect of implementing the lockdown, the financial structure collapsed, and common people, including daily wage workers, faced huge problems like food crises, joblessness, deriving from quality treatment, etc. In this situation, the only acceptable option to scientifically control COVID-19 was vaccination. The vaccine can create the antioxidant of the virus and boost the immunity power. After the final phase of a clinical trial on 25800 people, the efficiency of vaccination was up to 81%. According to the performance reports of vaccination, some vaccinated people faced problems like headaches, fever, body aches, rashes, etc., as the side effects [8, 11-13]. In India, vaccination was started on 18th January 2021. The performance reports of vaccines were generated by the Ministry of Health, Government of India, and showed that the success rate was up to 99.95% [14, 15]. Then, the whole community realized and understood the importance of vaccination and was keenly interested in the vaccinations. As a result, a huge crisis of vaccines was found in India due to its high population (2nd largest population). However, the world's largest vaccine manufacturing industry, namely Serum Institute of India (SII), is in Pune, Maharashtra, India. The SII produced the vaccine known as Covishield [16]. The day-wise vaccine production capacity of SII was 840 million doses per annum. At the same time, another reputed vaccine manufacturing company, namely Bharat BioTech, which is located in Hyderabad, Telangana, produced 700 million doses of vaccine (COVAXIN [17]) per year. However, those large units of vaccines produced are not able to fulfill the day-to-day demands of the country. Another important task is to carry the vaccine from the manufacturer plant to the vaccine distribution point (VDP). During the transportation and storage of the vaccines, the requirement of temperature is between 2 to 8°C [16]. The vaccine must be pushed within six hours after opening the pack to get maximum benefit. Hence, it is a challenging task to distribute the vaccines among the State and Union Territories (SUTs) in India and transport the vaccine from the manufacturing plant to the VDP. For a highly populated country like India, where the population density is higher in some parts of the country and comparatively less in other parts, the active cases, severe cases, and infection rates of COVID-19 are massive in the highly populated sections of the country whereas less populated sections are less affected. Then, the vaccine distribution among the SUTs is an important factor in reducing the number of new cases and fatalities and in proper utilization of the vaccine in due time. The vaccines should be distributed in such a way that day-wise infection rates are reduced. However, as far as we know, no such approaches have been found that can manage vaccine distribution efficiently.

To allocate the amount of vaccine for each SUT, a number of necessary factors need to be considered, which are directly or indirectly connected to the spreading of COVID-19 within the community. It is difficult to maintain the stability of vaccine distribution in highly populated countries like India since the awareness of COVID-19 vaccination has been growing rapidly among the citizens. Due to the huge rolling demand, the available vaccines are often not able to satisfy the demand. Moreover, the SUTs are located in different geographical regions, which indicates the requirement for different vaccine supply chains in different modes. Our main aim is to maintain the stability of the vaccine distribution among the SUTs. In this large-scale pandemic, our primary goal is to reduce day-

wise infection in the country through vaccination among the people. In order to achieve that, initially, we propose two vaccine distribution methods: proportional-based distribution and infection-based distribution. The proportional-based vaccine distribution method distributes the vaccine among the SUTs based on the condition of parameters like the active case, infection rate, total population, and population density, whereas the infection-based distribution method distributes the vaccine based on the rate of infection, population density, average contract hours per day, and vaccination ratio. However, none of the proposed vaccine distribution methods (proportional-based and infection-based) is able to fulfill all the criteria of the SUTs. Both of the distribution methods find some difficulty in distributing the vaccine exactly among the SUTs with respect to the request for the vaccine, total population, infection rate, and active case. We observed that the vaccine distribution by those two methods in some SUTs is accurate, whereas other SUTs are either over-distributed or under-distributed. Hence, there is an obvious need to combine both of the vaccine distribution methods. Thereafter, we propose a hybrid approach by combining the proposed two approaches to balance the vaccine distribution among the SUTs. The basic objective of the hybrid vaccine distribution technique is to uniformly allocate the limited vaccine among the SUTs in accordance with their situations to reduce the day-wise infection rate. The hybrid model provides a better result than the proposed two models. We applied the hybrid approach to distribute the vaccine among the thirty-six SUTs, where it showed better performance.

The rest of the article is arranged subsequently. In Section 2, we discuss COVID-19 and its related factors. Then, in Section 3, we present the proportional, infection, and hybrid vaccine allocation methods. Next, detailed results discussion and analysis of the three vaccine allocation methods are given in Section 4. Lastly, future work and conclusions are drawn in Section 5.

## **2. Background**

COVID-19 was unknown prior to its outbreak in Wuhan, China, in December 2019, but it is now a pandemic affecting most countries globally. On January 30, 2020, WHO declared the COVID-19 outbreak a Public Health Emergency of International Concern (PHEIC). WHO initiated the highest level of urgency to protect against the spread of COVID-19. On March 11, 2020, WHO made the assessment that COVID-19 could be characterized as a pandemic [11], and they observed that the affected persons of COVID-19 are the primary source to transmit the virus to other persons through respiratory droplets from sneezing, coughing, and talking [12]. The virus is spread primarily by aerosols, which contain dirty fomites. Recently, it was explored that COVID-19 can be transmitted from those patients who have mild to severe symptoms and also from those patients who are pre-symptomatic or asymptomatic [18]. The epidemiology of COVID-19 changed rapidly with the second wave. As of November 6, 2020, there were more than 48 million cases and 1.2 million deaths globally [13]. Sensing the critical nature of the virus, a large number of researchers throughout the world are working hard in various ways to mitigate the spread of disease, tracking the spread of disease, developing critical interventions, distributing vital medical supplies, and supporting the development of therapeutics and multiple vaccines.

There are a number of incidents and parameters that are directly or indirectly involved in the spreading of COVID-19 over the country. Those can be classified mainly into three categories such as base factors (BF), active factors (AF), and relative factors (RF), where the base factors indicate the socio-economic status of a region, active factors represent the present scenario of COVID-19 and three relative factors denote the effect of COVID-19. The base factors are the socio-economic factors of our community, like population, population density, and contract hours per day. The active factors, such as active cases, infection rate, and total infected persons, are the different quantities that

represent the infection growth of COVID-19. The relative factors, such as a request for vaccination, total vaccinated persons, and fatality rate, represent the after-effects of COVID-19. Normally, six related factors, such as total population, population density, active case, total infected persons till date, vaccination till date, and request for vaccination out of the nine factors mentioned above in the three categories (BF, AF, RF) are considered for the state wise COVID-19 vaccine distributions. We have collected the information (total population, population density, active cases, total infected persons to date, vaccination till date, and request for vaccination) for all the state and union territories (SUTs) of India from authorized government agencies reports regarding COVID-19 and Census of India (CoI) of 2011 [15]. The present status of the six factors that are involved in distributing the vaccine among the SUTs is represented with the choropleth map in Figure 2. The information on those six factors helped us to calculate the required amount of vaccine needed by each of the SUTs of the country to reduce the day-wise infection rate. If the total population, population density, and total infected persons are high, then the chances of increasing the infection rate will be high. Consequently, the active case will also increase [19]. Alternatively, a high vaccination rate may reduce active as well as severe cases. The allocation of vaccines among SUTs is estimated based on the current values, significance, and max-min limit of the factors. The factors (total population, population density, active cases, total infected persons till date, vaccination till date, and request for vaccination) are related to the new infection of COVID-19 and have various impacts in our society in respect of the COVID-19 pandemic as in Table 1. The maximum and minimum values of those factors are gathered from the authorized government web portal [15], and all are positive quantities, as shown in Table 2. Presently, some vaccine distribution approaches based on disease analysis have been introduced in [20-24].

**Table 1**

Parameters considered for optimization

| Parameters              | Significance | Effects                                                                                                                                                                                                                                                                                                                                  |
|-------------------------|--------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Population              | High         | Increase the number of doses for vaccination<br>Increase service points and supporting stuff for vaccination<br>Require high capacity and speedy transportation service for carrying the vaccine                                                                                                                                         |
| Population Density      | High         | Spread rapidly and explore exponentially<br>Unable to maintain social distance<br>Difficult to provide quality service of the affected persons                                                                                                                                                                                           |
| Active Cases            | Increase     | Vaccination is the ultimate alternative option to precaution<br>Increased demand of vaccination<br>Increased vaccination rate                                                                                                                                                                                                            |
| Total Affect persons    | High         | The rate of exploration is high<br>Increased the fatality rate                                                                                                                                                                                                                                                                           |
| Vaccination still date  | High         | Boosting immunity<br>Protect from severe cases<br>Reduce the demand of vaccine                                                                                                                                                                                                                                                           |
| Request for vaccination | Increase     | Require a high quantity of does to manage the demand<br>Require experienced health workers to push the vaccine safely<br>Provide sufficient resources to maintain vaccination rules<br>Maintain COVID-19 protocol accurately pre and post-push the vaccine<br>Manage a number of candidates in low-density region for a batch of vaccine |

### 3. Proposed Method

Distributing the vaccine among the SUTs is a very complex task, and consistency must be maintained during the vaccine allocation [20-24]. Proper vaccine distribution, transportation, and vaccination are very important to controlling the global COVID-19 pandemic. We introduce two vaccine distribution methods, namely proportional-based vaccine allocation and infection-based vaccine allocation, for properly distributing the vaccine among the SUTs.

**Table 2**

Related factors of States/UTs for allocating vaccines.

| Notations       | Situation factors                                                                | Minimal values | Maximal values |
|-----------------|----------------------------------------------------------------------------------|----------------|----------------|
| BF <sub>1</sub> | The total population                                                             | 64473          | 199812341      |
| BF <sub>2</sub> | The population density per Km <sup>2</sup>                                       | 17             | 11320          |
| BF <sub>3</sub> | The average contact time between individuals in the state and union territories. | 5              | 16             |
| AF <sub>1</sub> | The active cases                                                                 | 17             | 50298          |
| AF <sub>2</sub> | The infectious rate per 10000 persons                                            | 2746           | 37960          |
| AF <sub>3</sub> | Total infected persons                                                           | 770            | 3173261        |
| RF <sub>1</sub> | Request for vaccination.                                                         | 3001           | 8949560        |
| RF <sub>2</sub> | The vaccination persons                                                          | 10737          | 9338531        |
| RF <sub>3</sub> | The fatality rate per 10000 cases                                                | 4.7            | 17.8           |

#### 3.1 Proportional Based Vaccination Allocation

The proportional vaccine allocation method is used to measure the desired amount of vaccine required to reduce the infection rate according to the six related factors of the SUTs. Those six related factors, which are strongly connected to the infection of COVID-19, are considered parameters of proportional vaccination allocation among the SUTs in this proposed method. In addition, the following variables are used to describe the proposed method.

$n$  : The number of SUTs.

$SUT_i$ : The  $i^{\text{th}}$  SUT,  $i = 1, 2, \dots, n$ .

$m$  : The number of six related factors considered for allocating vaccines.

$\kappa_j^i$ : The value of the  $j^{\text{th}}$  factor of the  $i^{\text{th}}$  SUT,  $i = 1, 2, \dots, n$ ;  $j = 1, 2, \dots, m$ .

$BS^*$ : The most desirable situation

$WS^*$ : The least choice worst situation

$\Delta D$ : The distance between the best situation  $BS^*$  and the worst situation  $WS^*$

$D_i^*$ : The distance between the condition of SUTs and the best situation  $BS^*$

$SS_i$ : The situation of the  $i^{\text{th}}$  SUT,  $SS_i = \{\kappa_1^i, \kappa_2^i, \kappa_3^i, \dots, \kappa_m^i\}$ ,  $i = 1, 2, \dots, n$ .

$RT_i$ : The relative similarity of the situation of  $SUT_i$

$PA_i$ : The allocation ratio of the available vaccines for each  $SUT_i$

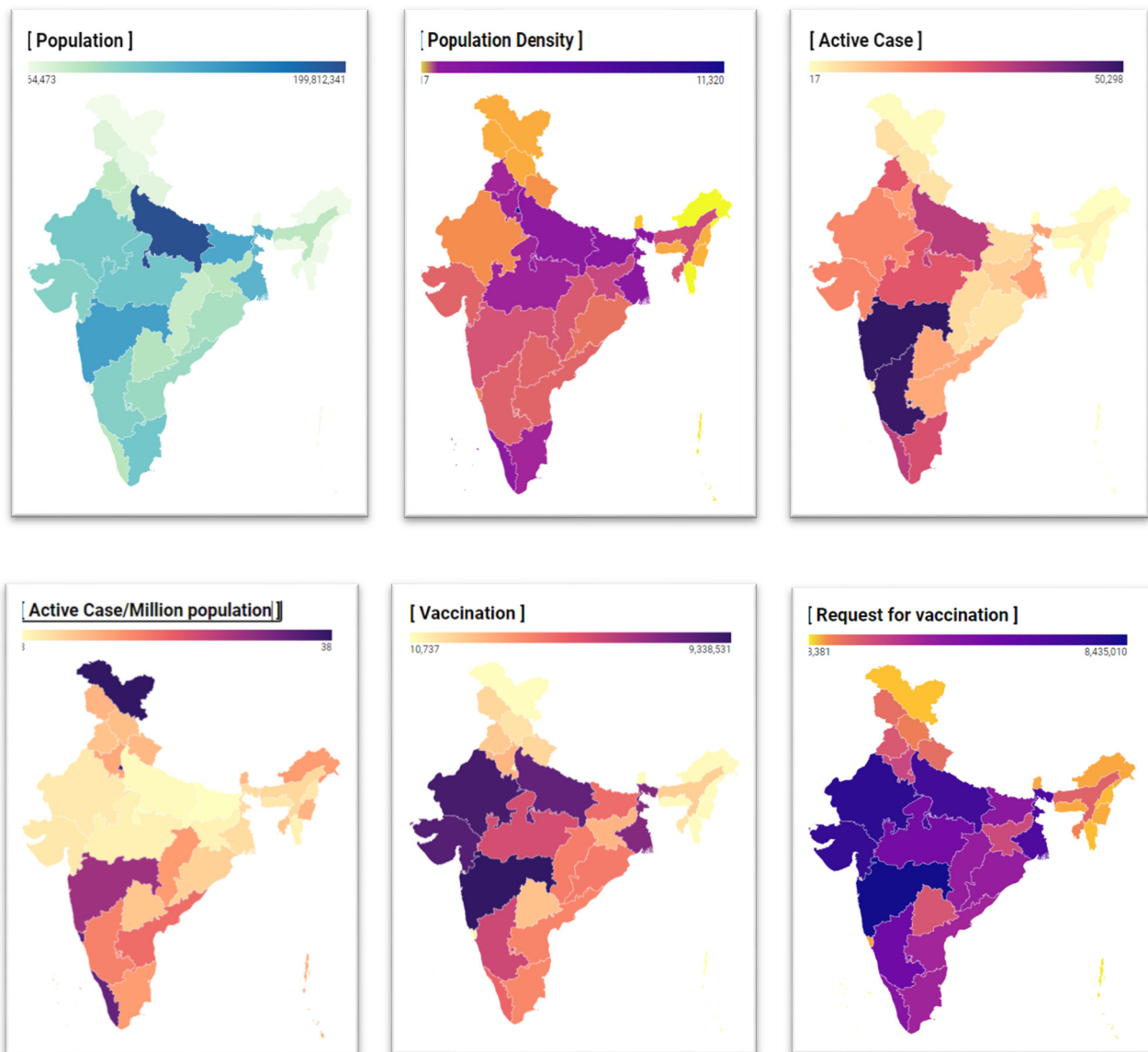
$QV$ : The available quantity of vaccines for all SUTs.

$QV_i$ : The allocated quantity of vaccines for  $i^{\text{th}}$  SUT.

Some factors may have a positive impact on vaccine distribution responses, and other factors may have a negative impact. Basically, various related factors may have different dimensions. For convenience, the following remarks are considered.

*Remark 1.* Calculate the ratio of related factors with respect to the base point for measuring the importance of the parameters.

*Remark 2.* If the values of any related factors are negatively connected to the demand for vaccines, we could use inverse values of those factors in the proposed approach.



**Fig. 2.** State/UTs wise choropleth map of the six factors

To maintain good governance, the allocation of limited vaccines among the SUTs should be done based on their condition. If  $SUT_i$  and  $SUT_m$  are two SUTs and  $SUT_i$  demands more vaccines based on the condition of  $SUT_i, 1 \leq i, m \leq n, i \neq m$ , then the quantities of allocated vaccines of  $SUT_i$  should be more than  $SUT_m$ . Then, to estimate the vaccine allocation quantities, we need to measure and compare the conditions of the SUTs. The proposed mathematical model is used to measure the

quantity of vaccine allocation from the present situation [25]. For this purpose, we state the following definitions.

**Definition 1.** The most desirable situation denoted by  $BS^*$  is made of according to the minimal value of the factors among all the SUTs, which is denoted as  $BS^* = \{\kappa_1^*, \kappa_2^*, \kappa_3^*, \dots, \kappa_m^*\}$  where  $\kappa_j^* = \min(\kappa_j^1, \kappa_j^2, \kappa_j^3, \dots, \kappa_j^n)$ .

As per the definition, the desirable solutions of the function  $\min()$  indicate the factor values showing the fewest demand for vaccines. Then, the closer condition of SUTs with respect to  $BS^*$  are more desirable. Consequently, the smallest quantities of vaccines may be allocated for the SUT.

**Definition 2.** The worst situation, which belongs to the least choice, is denoted by  $WS^*$ . It is made up according to the maximum factor values among all the SUTs, that is denoted as  $WS^* = \{\kappa_{*1}, \kappa_{*2}, \dots, \kappa_{*m}\}$  where  $\kappa_{*j} = \max(\kappa_j^1, \kappa_j^2, \kappa_j^3, \dots, \kappa_j^n)$ .

Similarly, the solutions of the function  $\max()$  represent that the factor values indicate the maximum demand for vaccines. So, when the SUTs are closer to  $WS^*$ , they are supposed to be in the worst situation and require the highest volume of vaccines for those SUTs.

Based on Definitions 1 and 2, the best and worst situations are respectively generated for this consequence of the minimum and maximum demand of vaccines for the SUTs. When the distance between the condition of  $SUT_i$  and the best situation  $BS^*$  is small, then the requirement of vaccine is minimal for  $SUT_i$ . Similarly, when the distance between the condition of  $SUT_i$  and the worst situation  $WS^*$  is small, then the requirement of vaccine is maximum for  $SUT_i$ .

To allocate the desired amount of vaccine, we need to develop a quantitative metric to measure the distances between the conditions of SUTs and the best or worst situations. To measure the distance, Hamming or Euclidean distance is generally considered, where Euclidean distance is found to be more beneficial than the Hamming distance metrics [26]. Meanwhile, different relative factors may have different impacts on the vaccine distribution among the SUTs, so we use weighted Euclidean distance to measure the distance  $D_i^*$  between the condition of SUTs and  $BS^*$ , and similarly, the distance  $\Delta D$  between  $BS^*$  and the  $WS^*$  is measured. The weight of the factors indicates the impact of the new infection of COVID-19. This is estimated by the correlation coefficient of each factor with respect to all other factors. In order to maintain the consistency, we use the ratio of  $D_i^*$  and  $\Delta D$  to represent the relative similarity of the condition of  $SUT_i$  with respect to the best situation or the worst situation according to the problem.

$$D_i^* = \sqrt{\sum_j w_{ij} (\kappa_j^i - \kappa_j^*)^2} \quad (1)$$

$$\Delta D = \sqrt{\sum_j w_{ij} (\kappa_{*j} - \kappa_j^*)^2} \quad (2)$$

Here  $w_{ij}$  denotes the relative weight of i-th SUT for the j-th factor.

The relative similarity of the situation of  $SUT_i$  is denoted by  $RT_i$ , and formulated as

$$RT_i = \frac{D_i^*}{\Delta D}. \quad (3)$$

We estimate the relative similarity of  $SUT_i$  as  $RT_i$ , with  $0 \leq RT_i \leq 1$ . If  $RT_i=0$ , then the condition of  $SUT_i$  is said to be in the ideal situation. On the other hand, when  $RT_i=1$ , then the condition of  $SUT_i$  indicates the worst situation. Note that the smaller  $RT_i$  indicates the small distance between the condition of  $SUT_i$  and  $BS^*$  and in turn smaller amount of vaccine is demanded for  $SUT_i$ , and vice versa. Thus, the metric in Eq. (3) is effective for our vaccine allocation method and has some good properties for measuring the relative status of SUTs, as shown below.

- (i) Normalization: For any  $SUT_i$ ;  $i = 1, 2, \dots, n$ ; it holds  $0 \leq RT_i \leq 1$ .
- (ii) Monotonicity: For any two instance of SUTs,  $SUT_i$  and  $SUT_l$ , for  $i, l = 1, 2, \dots, n$ ; and  $i \neq l$ , if  $\kappa_j^i \succ \kappa_j^l$  and other factor values are the same, then  $RT_i$  will be bigger than  $RT_l$ .
- (iii) Transmissibility: For three instance of SUTs,  $SUT_i, SUT_l$  and  $SUT_m$ , for  $i, l, m = 1, 2, \dots, n$ ; and  $i \neq l \neq m$ , if  $\kappa_j^i \succ \kappa_j^l \succ \kappa_j^m$  and other factor values are the same, then  $RT_i$  will be bigger than  $RT_m$ .

*Remark 3.* Expression (3) reflects the relative value of the condition of  $SUT_i$  in respect to either the best situation or the worst situation. Meanwhile, in this work, we need to compare interval factor values, and Eq. (3) is used for this purpose. The comparison values of interval numbers could include both the positive and negative impacts of the related factors [27]. Expression (3) could effectively manage both instances.

The allocation ratio of the available vaccines  $PA_i$  for each of the SUTs is determined based on  $RT_i$  and defined below in Eq. (4).

$$PA_i = \frac{RT_i}{\sum_i RT_i} \quad (4)$$

Then, the allocated quantity of vaccines for the  $SUT_i$ , denoted by  $PQ_i$ , is equal to  $PA_i * QV$  where  $QV$  is the available quantity of vaccines. In real-world pandemic conditions, a number of factors are directly or indirectly connected to the new infection of the COVID-19 virus within the community. All those factors do not have the same impact on spreading the virus, whereas different factors have different impacts. The impact of each factors of the SUTs are determined by the relative weight  $w_{ij}$  with respect to the conditions of all other SUTs.

### Procedure

The step-by-step procedure of the proposed proportional-based vaccine allocation method is given below.

Step 1: The condition  $SS_i$  of  $SUT_i$  is defined by  $SS_i = \{\kappa_1^i, \kappa_2^i, \kappa_3^i, \dots, \kappa_m^i\}$ ,  $i = 1, 2, \dots, n$ , where  $\kappa_j^i$  be the related factor.

Step 2: Evaluate the best and worst situation  $BS^*$  and  $WS_*$  respectively from the condition of all SUTs.

Step 3: Estimate the weighted distance ( $D_i^*$ ) between the condition of the SUT ( $SS_i$ ) and  $BS^*$  of each SUT using (1).

Step 4: Estimate the weighted distance ( $\Delta D$ ) between  $BS^*$  and  $WS_*$  using Eq. (2).

Step 5: Calculate the relative similarity (  $RT_i$  ) for each  $SUT_i$  using Eq. (3).

Step 6: Calculate the allocation ratio (  $PA_i$  ) of each SUT using Eq. (4).

Step 7: The allocated quantity of vaccine  $PQ_i$  (  $PQ_i = PA_i * QV$  ) for each  $SUT_i$  is computed based on the total available quantity of vaccine (  $QV$  ).

#### Relative Weight

Relative weight analysis is a procedure to estimate the relative importance of one criterion with respect to all other criteria. There is no specific range for the values of various criteria that are related to the problem. Normally, predicting the relative importance and behavior of the criteria is impossible. The researchers cannot make actual judgments regarding the statistical significance of the various weight analyses because that procedure cannot be used to determine whether a weight is significantly different from zero. The statistical significance of a relative weight was introduced in [28]. In our study, we estimate the relative weight of each of the factors of the SUTs by measuring the distance from the factor of all SUTs, which are used for checking the individual relative importance of the factor. The relative importance of the  $j^{th}$  factor of  $i^{th}$  alternative are denoted as  $w_{ij}$  and  $w_{ij} \geq 0$ . The higher magnitude of  $w_{ij}$  indicate maximum importance of factor. The present situation of SUT is represented as  $SS_i = \{ \kappa_1^i, \kappa_2^i, \kappa_3^i, \dots, \kappa_{m1}^i \}, i = 1, 2, \dots, n$ . The following equation is used to measure the relative weight.

$$w_{ij} = \begin{cases} \frac{\sum_{l=1}^{m1} (\kappa_l^i - \kappa_l^j)^2}{\sum_{j=1}^{m1} \sum_{l=1}^{m1} (\kappa_l^i - \kappa_l^j)^2}, & i \neq j \\ 1 & i = j \end{cases} \quad (5)$$

### 3.2 Infection Rate Wise Vaccination Allocation

The affected persons of COVID-19 are the primary source for transferring the virus and stimulating new infection of COVID-19 within the community. The affected person may have mild to severe symptoms, and the virus is transmitted by those who are pre-symptomatic or asymptomatic [18]. The transmission is done through respiratory droplets from sneezing, coughing, and talking [12]. The authors in [29] investigated the correlation between COVID-19 infection and mortality rate with the population density. According to the investigation results, there is a connection between the mortality and new infection of COVID-19 and population density. So, factors like average contract hours per day, high population density within an area, and current active cases are directly related to the spreading of the virus among the people. Similarly, sharing, gathering, no masks, wandering, daily needs, and providing emergency services are the factors that may violate the COVID-19 protection rules, as shown in Figure 1. The vaccinated persons are safe from the new infection, whereas the unvaccinated persons have a high chance of infection. The day-wise infection rate (  $I$  ) for the  $SUT_i$  is denoted by  $I_i = \left( \frac{dI}{dt} \right)_i$ , which is defined by the following equation:

$$\left( \frac{dI}{dt} \right)_i = C_i * D_i * AC_i + (1-V_i) * R_i \quad (6)$$

Here  $C_i$  : The average contact hours in a day among individuals in the  $SUT_i$ .

$D_i$  : Normalized population density/ $Km^2$  of the  $SUT_i$ .

$AC_i$  : Normalized active cases of the  $SUT_i$ .

$V_i$  : Vaccination ratio with respect of the total population of the  $SUT_i$ .

$R_i$  : Rate of infection of millions of people.

The relative infection rate of the  $SUT_i$  in respect of all SUTs is denoted as  $RI_i$  and estimated by:

$$RI_i = \frac{\sqrt{(I^* - I_i)^2}}{\sqrt{(I^* - I_*)^2}} \text{ where } I^* = \max_i(I_i) \text{ and } I_* = \min_i(I_i)$$

The vaccine allocation ratio corresponds to  $SUT_i$  is denoted by  $PI_i$  and computed as

$$PI_i = \frac{RI_i}{\sum_i RI_i} \quad (7)$$

Then, the allocated quantity of vaccine for  $SUT_i$  is denoted by  $IQ_i$ , and computed as

$$IQ_i = PI_i * QV \quad (8)$$

where  $QV$  be the available quantity of total vaccines.

#### Normalization

Data normalization converts the original data into a smaller or common range, such as  $[-1, 1]$  or  $[0.0, 1.0]$ , and provides equal importance to the attributes. There are many methods for data normalization, such as min-max normalization, z-score normalization, normalization by decimal scaling, and neutral membership-based normalization [30]. In our proposed method, we consider the min-max normalization. Let  $A$  be a numeric attribute with  $l$  observed values,  $v_1, v_2, \dots, v_l$ , then the min-max normalization executes a linear transformation on the original data within the interval 0 and 1. Suppose that  $\min_A$  and  $\max_A$  are the minimum and maximum values of an attribute  $A$ . Min-max normalization converts the value  $v_i$  of  $A$  into  $v'_i$  using Eq. (9).

$$v'_i = \frac{v_i - \min_A}{\max_A - \min_A} \quad (9)$$

Min-max normalization preserves the relationships among the original data values but is unable to normalize the input that belongs outside the original data range for  $A$ .

### 3.3 Hybrid Approach for Vaccine Allocation

For the accurate vaccine allocation among the SUTs, the following three basic conditions must be maintained during the vaccine allocation:

- i. The sum of the allotted vaccines of each SUT must be lower or equal to the total available vaccines, i.e.,  $\sum_i QV_i \leq QV$ .
- ii. The allotted vaccines should be lower than or equal to the requested amount.
- iii. Allocation of vaccines for each SUT should be at least the minimum limit.

In order to satisfy the above three conditions, each vaccine allocation model should have an individual objective function, and the model needs to fulfill the objective/s. The proposed method allocates vaccines to reduce day-wise infection of COVID-19 and measures the accuracy with respect to the request and allocated vaccine. Hence, the models are developed according to this objective function. In this regard, we propose two models, proportional-based allocation and infection-based allocation, for day-wise vaccine allocation for each SUT. Both of the models fail to satisfy the last two

conditions mentioned above. In order to satisfy all the conditions, we develop the hybrid model by combining the proportion and infection-based allocation models, where a fractional part of vaccine distribution is done by one model, and the remaining fractional part of vaccine distribution is done by another model, which is defined in Eq. (10). The two parameters  $PQ_i$  and  $IQ_i$  are the proportion and infection-based allocation vaccines of the  $SU_i$  respectively. The final allocated vaccine of the combined model is denoted by  $FQ_i$  which is estimated using Eq. (10).

$$FQ_i = \alpha * PQ_i + (1 - \alpha) * IQ_i \quad (10)$$

Here  $\alpha, (0 \leq \alpha \leq 1)$  be the cofactor that is used for the necessary adjustment of the final vaccine allocation.

#### 4. Result and Discussion

The proposed vaccine distribution methods are applied for large-scale data sets and a wide range of data where the lowest limit is very low, whereas the maximum limit is very high. It is difficult to follow all the conditions and maintain all the rules during vaccine allocation due to the various impacts of different base, active, and relative factors. The proposed vaccine distribution methods try to manage various factors that impact vaccine distribution. However, none of the proposed methods are accurate, and the allocation of the vaccines among the SUTs is an ideal distribution. Then, the performance and efficiency of the methods are measured by introducing parameters like accuracy rate and various distribution grades. The accuracy rate (AR) is the ratio between the request of vaccine and allocation of vaccine, whereas the distribution grades are denoted as  $DG_{AC}$ ,  $DG_{IR}$ , and  $DG_{TP}$ , which indicates the vaccine distribution ratio with respect to active case, infection rate, and total population, respectively among the SUTs. The performance measuring parameters are formulated below.

$$AR_i = \frac{\text{request vaccine}_i}{\text{Allocated vaccine}_i} \quad (11)$$

$$DR_{AC}^i = \frac{\text{ActiveCase}_i}{\text{Allocated vaccine}_i} \quad (12)$$

$$DR_{TP}^i = \frac{\text{Total Population}_i}{\text{Allocated vaccine}_i} \quad (13)$$

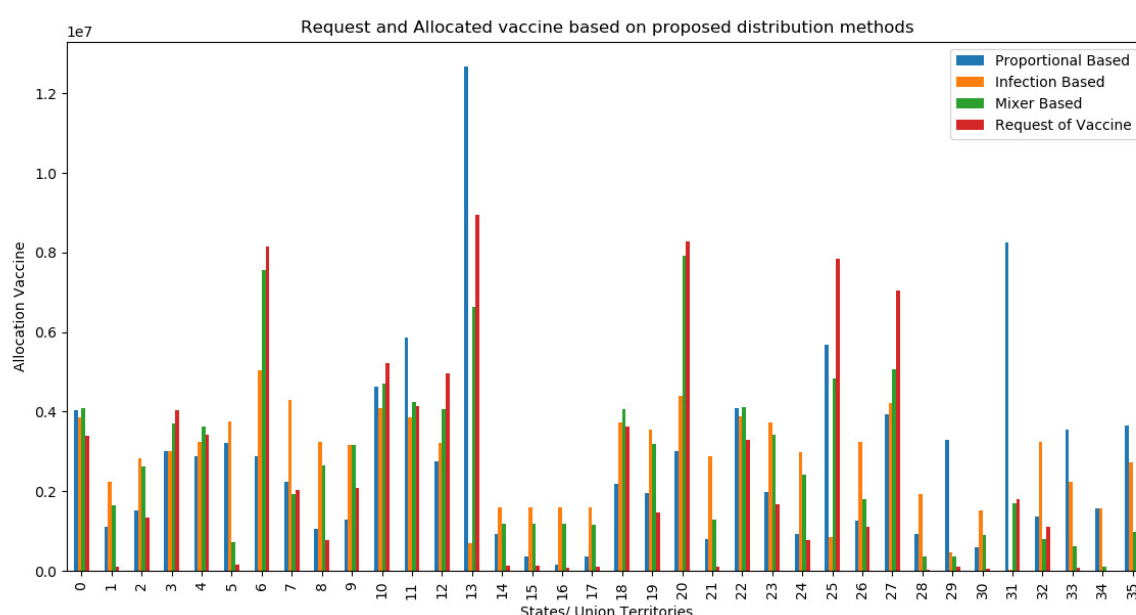
$$DR_{IR}^i = \frac{\text{Infection Rate}_i}{\text{Allocated vaccine}_i} \quad (14)$$

The accuracy of the vaccine allocation among the SUTs is represented by the variable  $AR_i$ ,  $i = 1, 2, \dots, n$ . In the ideal situation, the magnitude of  $AR_i$  will be equal to 1, i.e., the allocated vaccine is equal to the requested amount, otherwise under allocation and over-allocation will occur according to conditions  $AR_i < 1$  and  $AR_i > 1$  respectively. The overall performance of the vaccine distribution is measured by the values of  $AR_i, i \in n$  which belongs within the range  $(1 \pm \beta)$ , where the symbol  $\beta$  indicate tolerance of the vaccine allocation and the lowest tolerance value ( $\beta$ ) of the vaccine allocation will be most acceptable. Similarly, the distribution grades  $DG_{AC}$ ,  $DG_{IR}$  and  $DG_{TP}$  with respect to the active case, infection rate per million population, and total population are respectively the ratio of the active case and allocated vaccine, infection rate and allocated vaccine, total population and allocated vaccine, which are represented by the Eq. (12), Eq. (13) and Eq. (14) respectively. If those three types of grades lie within the narrow range, then the vaccine distribution is more acceptable.

The proportional-based distribution method is applied to distribute the ten core doses of the vaccine among thirty-six SUTs. Then, the SUT-wise request of vaccine and allocated vaccine by three vaccine distribution methods are represented using a bar plot in Figure 3. We realize that the vaccine

distribution among the SUTs should be ideal when the demand for vaccines is moderate. However, the distribution of vaccines is not justified for the lowest as well as highest demand of the SUTs. The SUTs with indexes 5, 13, 29, 31, 33, 34, and 35 are allocated a quantity of vaccine, which is much more than the request of vaccine, whereas SUTs with indexes 12, 18, and 20 are allotted a limited quantity of vaccine in respect of request. The allocated vaccines for the SUTs with index 0, 4, 7, 8, 10, 15, 16, 17, 19, 22, 23, 24, 26, 32 are ideal in respect of 10% tolerance of request of vaccine. As per the performance report in Table 4, the accuracy rate range is very wide. Subsequently, the other three distribution grades, which are used to measure the performance of the vaccine allocation, are better than the accuracy rate, but displacement ranges are not narrow.

In the infection-based distribution method, the same quantity of vaccines is distributed among the thirty-six SUTs. This allocation method is more optimistic than proportional-based vaccine distribution. The infection-based vaccine distribution method is balanced over the demand, but a few situations fluctuated unexpectedly, which is shown in Figure 3.



**Fig. 3.** Request for vaccines and distribution of vaccines by the three procedures among thirty-six SUTs.

As per the performance report computed in Table 4, the accuracy rate interval for the infection-based distribution method is  $[0.732, 218.12]$ , which is much better than that of the proportional-based distribution. Also, it is observed that the interval of three distribution grades (DGAC, DGIR, DGTP) are almost similar for the proportional-based distribution method. According to the performance measure shown in Figure 3, both the proportional-based distribution and infection-based distribution methods are not found to be accurate since, in some of the SUTs, the allocated vaccines are more than the requested vaccines, and sometimes the allocated vaccines are less than the requested vaccines which are not desirable. However, the distribution of vaccines among the SUTs needs to be ideal, i.e., the distributed quantity of vaccines in SUTs should be nearly equal to the requested amount of vaccines. For this purpose, we have introduced the concept of a hybrid model to combine both of the proposed methods by partial fraction technique as given in Eq. (12). The hybrid approach is able to gather the advantages of both of the methods and try to balance the distribution among the SUTs. The hybrid-based vaccine allocation can improve the accuracy of SUTs such as the SUTs with indexes 6, 11, 13, and 31, where the proportional and infection-based vaccine allocation produces either over or under-allocated results. The vaccine distributions among the

thirty-six SUTs as per the hybrid model are shown in Table 3, where the cofactor ( $\alpha$ ) value is between 0 and 1. The hybrid model-based distribution becomes a proportional based distribution when the cofactor ( $\alpha$ ) =1, similarly hybrid model-based vaccine distribution becomes infection base distribution when cofactor ( $\alpha$ ) =0. The desirable distribution of the hybrid model is considered when cofactor ( $\alpha$ ) =0.3. At that point, the accuracy rate of the hybrid model-based vaccine distribution is between 1.33 and 0.35, and the performance is much better than the other two methods proposed. The vaccine distributions of the hybrid model among the SUTs are shown in Figure 3. Although the distributed amount of vaccines among the SUTs is not proper or ideal, it is proportional to requested vaccines and may be accepted. In this hybrid vaccine allocation method, the allocated vaccine among sixteen SUTs is ideal. The vaccine distribution of the remaining SUTs is within 7% tolerance of the requested vaccine. The hybrid vaccine allocation among the SUTs based on cofactor ( $\alpha$ ) are shown in Table 3.

**Table 3**  
Vaccine allocation among the SUTs for different value of  $\alpha$

|            |                    | cofactor ( $\alpha$ ) |         |         |          |          |          |          |          |          |          |          |
|------------|--------------------|-----------------------|---------|---------|----------|----------|----------|----------|----------|----------|----------|----------|
| States/UTs |                    | 0                     | 0.1     | 0.2     | 0.3      | 0.4      | 0.5      | 0.6      | 0.7      | 0.8      | 0.9      | 1        |
| 1          | Andhra Pradesh     | 4259009               | 4219503 | 4179996 | 4140490  | 4100983  | 4061477  | 3596070  | 3982464  | 3942958  | 3903451  | 3863945  |
| 2          | Arunachal Pradesh  | 1238592               | 1338840 | 1439089 | 1539337  | 1639586  | 1739834  | 1716223  | 1940331  | 2040579  | 2140828  | 2241076  |
| 3          | Assam              | 2504175               | 2536411 | 2568646 | 2600882  | 2633117  | 2665353  | 2447171  | 2729824  | 2762059  | 2794295  | 2826531  |
| 4          | Bihar              | 4188622               | 4069964 | 3951307 | 3832649  | 3713991  | 3595334  | 3057814  | 3358018  | 3239360  | 3120703  | 3002045  |
| 5          | Chhattisgarh       | 3868201               | 3805722 | 3743242 | 3680763  | 3618284  | 3555804  | 3106505  | 3430846  | 3368367  | 3305887  | 3243408  |
| 6          | Goa                | 2036974               | 2209237 | 2381500 | 2553762  | 2726025  | 2898288  | 2866853  | 3242814  | 3415076  | 3587339  | 3759602  |
| 7          | Gujarat            | 5890027               | 5805379 | 5720732 | 5636084  | 5551436  | 5466788  | 4793138  | 5297493  | 5212845  | 5128197  | 5043550  |
| 8          | Haryana            | 3688686               | 3748869 | 3809052 | 3869235  | 3929417  | 3989600  | 3680914  | 4109966  | 4170149  | 4230332  | 4290514  |
| 9          | Himachal Pradesh   | 2266383               | 2363494 | 2460605 | 2557716  | 2654827  | 2751938  | 2622411  | 2946160  | 3043271  | 3140383  | 3237494  |
| 10         | Jharkhand          | 3150527               | 3151792 | 3153056 | 3154321  | 3155585  | 3156850  | 2843061  | 3159379  | 3160643  | 3161908  | 3163172  |
| 11         | Karnataka          | 5115647               | 5013442 | 4911237 | 4809032  | 4706827  | 4604622  | 3990852  | 4400212  | 4298007  | 4195802  | 4093597  |
| 12         | Kerala             | 4503298               | 4439318 | 4375338 | 4311359  | 4247379  | 4183399  | 3669089  | 4055439  | 3991459  | 3927480  | 3863500  |
| 13         | Madhya Pradesh     | 4636309               | 4494715 | 4353121 | 4211526  | 4069932  | 3928338  | 3323113  | 3645150  | 3503555  | 3361961  | 3220367  |
| 14         | Maharashtra        | 3930220               | 3606833 | 3283445 | 2960058  | 2636670  | 2313283  | 1596874  | 1666508  | 1343121  | 1019733  | 696346   |
| 15         | Manipur            | 912627.8              | 980476  | 1048324 | 1116173  | 1184021  | 1251869  | 1228455  | 1387566  | 1455414  | 1523262  | 1591110  |
| 16         | Meghalaya          | 916211                | 983476  | 1050741 | 1118006  | 1185271  | 1252536  | 1228180  | 1387066  | 1454331  | 1521596  | 1588862  |
| 17         | Mizoram            | 883544                | 955737  | 1027930 | 1100123  | 1172316  | 1244509  | 1228347  | 1388895  | 1461088  | 1533281  | 1605474  |
| 18         | Nagaland           | 886077                | 956417  | 1026758 | 1097098  | 1167439  | 1237779  | 1219512  | 1378460  | 1448800  | 1519141  | 1589481  |
| 19         | Odisha             | 4273564               | 4218222 | 4162879 | 4107537  | 4052195  | 3996853  | 3514154  | 3886168  | 3830826  | 3775484  | 3720141  |
| 20         | Punjab             | 2969587               | 3027333 | 3085079 | 3142825  | 3200571  | 3258317  | 3019105  | 3373810  | 3431556  | 3489302  | 3547048  |
| 21         | Rajasthan          | 5621300               | 5497903 | 5374506 | 5251110  | 5127713  | 5004316  | 4318789  | 4757522  | 4634126  | 4510729  | 4387332  |
| 22         | Sikkim             | 1541568               | 1675314 | 1809060 | 1942805  | 2076551  | 2210297  | 2189886  | 2477789  | 2611535  | 2745280  | 2879026  |
| 23         | Tamil Nadu         | 4258598               | 4221108 | 4183618 | 4146128  | 4108638  | 4071148  | 3607798  | 3996168  | 3958678  | 3921187  | 3883697  |
| 24         | Telangana          | 3203831               | 3255121 | 3306410 | 3357700  | 3408990  | 3460280  | 3191186  | 3562859  | 3614149  | 3665439  | 3716728  |
| 25         | Tripura            | 2061056               | 2152342 | 2243628 | 2334913  | 2426199  | 2517485  | 2402665  | 2700057  | 2791342  | 2882628  | 2973914  |
| 26         | Uttar Pradesh      | 4150880               | 3820052 | 3489224 | 3158396  | 2827569  | 2496741  | 1750825  | 1835085  | 1504257  | 1173429  | 842601.6 |
| 27         | Uttarakhand        | 2515545               | 2589022 | 2662500 | 2735977  | 2809455  | 2882932  | 2704855  | 3029887  | 3103364  | 3176841  | 3250319  |
| 28         | West Bengal        | 5627464               | 5485536 | 5343608 | 5201680  | 5059752  | 4917824  | 4213149  | 4633968  | 4492040  | 4350112  | 4208184  |
| 29         | ANI <sup>1</sup>   | 997738                | 1089685 | 1181633 | 1273580  | 1365527  | 1457475  | 1449648  | 1641369  | 1733317  | 1825264  | 1917211  |
| 30         | Chandigarh         | 314720                | 329602  | 344485  | 359367.3 | 374249.7 | 389132.1 | 372542.6 | 418897   | 433779.4 | 448661.8 | 463544.3 |
| 31         | DNHDD <sup>2</sup> | 812050                | 881693  | 951336  | 1020979  | 1090622  | 1160265  | 1148703  | 1299551  | 1369194  | 1438837  | 1508480  |
| 32         | Delhi              | 818472                | 738391  | 658310  | 578228.4 | 498147.3 | 418066.1 | 256137.7 | 257903.7 | 177822.5 | 97741.35 | 17660.16 |
| 33         | Jammu and Kashmir  | 2514606               | 2586974 | 2659341 | 2731709  | 2804076  | 2876444  | 2697351  | 3021179  | 3093547  | 3165915  | 3238282  |
| 34         | Ladakh             | 1187116               | 1292063 | 1397009 | 1501956  | 1606903  | 1711850  | 1698085  | 1921743  | 2026690  | 2131636  | 2236583  |
| 35         | Lakshadweep        | 795865                | 872113  | 948362  | 1024610  | 1100858  | 1177106  | 1173768  | 1329603  | 1405851  | 1482100  | 1558348  |
| 36         | Puducherry         | 1460811               | 1587563 | 1714316 | 1841068  | 1967820  | 2094573  | 2075244  | 2348077  | 2474829  | 2601582  | 2728334  |

<sup>1</sup>Andaman and Nicobar Islands, <sup>2</sup>Dadra and Nagar Haveli and Daman and Diu.

**Table 4**

### Performance report of the three vaccine distribution procedures.

|                               | AR     |       | DG <sub>TP</sub> |       | DG <sub>IR</sub> |         | DG <sub>AC</sub> |         |
|-------------------------------|--------|-------|------------------|-------|------------------|---------|------------------|---------|
|                               | max    | min   | max              | min   | max              | min     | max              | min     |
| Proportion Based Distribution | 524.8  | 0.36  | 35.1             | 0.041 | 0.025            | 0.0006  | 0.04             | 0.00002 |
| Infection Based Distribution  | 218.12 | 0.732 | 34.79            | 0.2   | 0.1001           | 0.00005 | 0.029            | 0.0007  |
| Hybrid Distribution           | 1.33   | 0.35  | 24.5             | 0.018 | 0.021            | 0.00076 | 0.131            | 0.00009 |

## 5. Concluding Remarks

In this article, we have initially proposed the proportional and infection-based vaccine allocation methods among the states and union territories of India. India is a highly populated country, and the population density varies from a few persons to thousands of people in a Km<sup>2</sup>. So, the infection rates and active cases vary among the various regions, whereas the medical services in some well-established locations are state-of-the-art. Then, the vaccine allocation process is very challenging, and it is difficult to maintain consistency and reduce the active cases, infection rate, and fatality rate. The proportional-based distribution method distributes the vaccine among the SUTs based on the present status of various parameters like the active case, infection rate, population density, total population, and request for the vaccine. The infection-based distribution method distributes the vaccine based on the rate of infection, population density, average contract hours per day, and vaccination ratio. As per our investigation report, it is observed that none of the distribution methods are able to distribute the vaccine exactly among the SUTs with respect to the request for the vaccine, total population, infection rate, and active cases. These two methods (proportional and infection-based vaccine allocation methods) distribute the vaccine in some SUTs quite accurately, whereas, in most of the SUTs, the vaccine distribution is either over-distributed or under-distributed. To improve the vaccine distribution performance among the SUTs, a hybrid method is proposed by combining the given two methods. The hybrid method provides a better result than the proposed two methods with respect to accuracy rate and various distribution grades. In the future, the vaccine distribution can be further improved by introducing machine learning concepts, where the relative importance of the individual parameters may be developed, and an intelligence model may be formulated to allocate the vaccine among the States and union territories.

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## Conflicts of Interest

The authors declare no conflicts of interest.

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